

ANNUAL GOVERNANCE STATEMENTS – 2012/13

**JOINT REPORT OF POLICE AND CRIME COMMISSIONER AND CHIEF
CONSTABLE**

1 Purpose of the Report

- 1.1 To allow the Committee to review and comment on the Annual Governance Statements 2012/13, as attached at Appendix A and B, for the Police and Crime Commissioner (PCC) and Chief Constable respectively.

2 Background

- 2.1 The Accounts and Audit Regulations 2011 require public bodies to produce an Annual Governance Statement giving an assessment of governance arrangements and their effectiveness. This accompanies the Annual Statement of Accounts for each body. Previously the Annual Governance Statement was a combined statement for the Authority and Force but now a separate statement for both the PCC and Chief Constable is required.
- 2.2 The Joint Independent Audit Committee's terms of reference gives the Committee the responsibility to "agree the assurance framework for and oversee the production of the Police and Crime Commissioner's and Chief Constable's Annual Governance Statements and review and comment on these statements prior to their approval by the Police and Crime Commissioner and Chief Constable."
- 2.3 The Joint Independent Audit Committee agreed on 27th March 2013 the assurance framework, which would provide evidence for the completion of the Annual Governance Statements based on assurances from the following sources:
- Governance Arrangements
 - Senior managers
 - The system of internal audit
 - Risk management arrangements
 - Performance management and data quality
 - Views of the external auditor, HMIC and other external inspectorates.

- The legal and regulatory framework
- Financial controls
- Partnership arrangements and governance
- Other sources of assurance

3 The Annual Governance Statements 2012/13

- 3.1 The PCC and Chief Constable's Joint Monitoring Group, chaired by the PCC's Treasurer and Chief Constable's Director of Finance, met on 3rd June 2013 to undertake a review of governance and internal control arrangements to form the basis of the preparation of an Annual Governance Statement for each body. Based on the assurances and evidence provided it concluded that the PCC and Chief Constable's governance arrangements are effective but with four actions for improvement to be implemented during 2013/14 covering both bodies.

4 Equal opportunities implications

- 4.1 It is considered that there are no equal opportunities implications arising from the report.

5 Human rights implications

- 5.1 It is considered that there are no human rights implications arising from the report.

6 Risk Management implications

- 6.1 There are no additional risk management implications arising directly from this report. The implementation of the recommended actions will enhance the internal control environment of both the PCC and Chief Constable.

7 Financial implications

- 7.1 There are no financial implications directly arising from this report

8 Recommendation

- 8.1 The Committee is asked to review and comment on the Annual Governance Statements for 2012/13 for both the PCC and Chief Constable prior them being passed to the PCC and Chief Constable for approval.