

POLICE AND CRIME COMMISSIONER FOR NORTHUMBRIA

Key Decisions

Title and Reference

Approval for continuation of the 2012/13 Internal Audit plan.
(PCC/07/2013)

Summary

The Internal Audit plan for 2012/13 was agreed by the former Police Authority in May 2012. Progress against the plan is well advanced. No changes to the plan were proposed in discussions with the Force.

Recommendation/ Findings:

It is recommended that the Commissioner agrees that the Internal Audit plan for 2012/13 is progressed based on the coverage of areas previously agreed.

Northumbria Police and Crime Commissioner

I hereby approve the recommendation above.

Signature



Date 07.02.13

Information and advice provided to the Police and Crime Commissioner for Northumbria

2012/13 Internal Audit Plan

1. Introduction and background

Under the current SSA Gateshead Council provides an Internal Audit Service to both the Police and Crime Commissioner and Chief Constable. An annual plan setting out the proposed work of Internal Audit is produced for consideration each year. This is the Chief Internal Auditor's plan but input is received from senior managers and the external auditor. The plan is then reported to the Audit Committee for approval (but not direction).

2. Issues for consideration

It is considered good practice for the Commissioner to have oversight of and approve continuation of the current year's Internal Audit plan. This also provides the opportunity for the Commissioner's input in respect of the plan's coverage for the remainder of the financial year.

3. Financial considerations

The Treasurer confirms the cost of the Internal Audit Service is reflected in the current SSA and there are no direct financial implications arising from this report.

4. Legal considerations

It is considered the report has no legal implications.

5. Equal opportunities implications

It is considered the report has no equal opportunities implications.

6. Background and supporting papers

Summary of 2012/13 Internal Audit plan attached.

Internal Audit Plan 2012/13

Audit	Planned Hours
<u>Departments</u>	
1. Cash and Miscellaneous Income	150
2. Employee Claims and Purchasing Arrangements	150
3. Corporate Communications	50
4. Criminal Justice Department	70
5. Human Resources	80
6. Operations Department	100
7. Partnership Arrangements	70
8. Performance Management	50
9. Procurement and Supplies Section	140
10. Professional Standards & Counter Fraud Arrangements - Force	120
11. Property	250
12. Risk Management - Force	70
13. Witness Protection	60
	1360
<u>IT Audit</u>	
14. Project Management	80
15. Service Management	80
	160
<u>Finance and Resources - Force</u>	
16. Corporate Finance	120
17. Creditors & General Expenditure HQ	80
18. Fleet Management	140
19. Force Budgets	50
20. Force Payroll	140
21. Police Charities Funds	35
	565
<u>Corporate Systems</u>	
22. Budgetary Controls	20
23. Capital Accounting System	20
24. Central Debtors	35
25. Central Payroll	35
26. Counter Fraud Arrangements	30
27. Creditors Central System	35
28. IAS19 Disclosure	30
29. Main Accounting System	30
30. Members Allowances	40
31. Risk Management	30
32. Treasury Management	35
	340
<u>General Allocations & Combined</u>	
33. Pre-Election Transition	175
34. Governance - Post Election	175
35. Annual Governance Statement - Review of Managers' Assurances Audit	50
36. Police Pensions and Transfer Values	50

Annual Governance Statement - Preparation	50
Audit Committee	150
Follow Up Contingency	50
Special Investigations	50
General Advice, Consultancy and Systems Review	425
	1175
Total	3600