

**POLICE AND CRIME COMMISSIONER - NORTHUMBRIA POLICE****JOINT INDEPENDENT AUDIT COMMITTEE****8 December 2014**

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**CORPORATE RISK MANAGEMENT****REPORT OF THE STRATEGY AND PLANNING MANAGER**

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**1 Purpose of the Report**

- 1.1 The purpose of the report is to update the Joint Independent Audit Committee on the management of strategic risk as contained within the Police and Crime Commissioner and Chief Constable's Joint Strategic Risk Register.

**2 Background**

- 2.1 The Office of Police and Crime Commissioner (OPCC) and Northumbria Police share a Joint Strategic Risk Register (Appendix A) which has been designed to ensure the effective management of strategic risk, whilst reducing the bureaucracy associated with historic practices.
- 2.2 Strategic risks are assigned Chief Officer and OPCC owners, who have responsibility for the management of risk controls and the implementation of new controls, where necessary.

**3 Current Position**

- 3.1 Chief Officers have reviewed the Strategic Risks for which they have ownership, and provided updates. Key changes are outlined as follows:

**New Risk**

A new strategic risk has been identified under the Information Management and Security Risk Area.

Risk - Significant Cyber attack on Force systems which may result in the corruption or loss of systems or the disclosure of sensitive information.

Existing controls:

- A number of technologies are in place to protect data from external attack (e.g. fire walls).
- Intrusion Detection Systems and Intrusion Protection Systems identify unusual activity directed towards the Force for analysis.
- Penetration tests are undertaken each year and demonstrate the Force's capability to withstand attacks and safeguard its data and systems.

No further controls are required at this stage; however, the risk will be regularly assessed to identify any changes in the threat level.

**Existing Risk**

Additional controls have been identified or implemented for the following risks and will help reduce the likelihood and/or impact of the risk if it were to occur.

**Risk Area – Legal Compliance**

Risk – The Force/OPCC fails to follow relevant laws, regulations, business rules and ethical codes.

- Testing is to be carried out on how well the Police Code of Ethics has been embedded and understood.

**Risk Area – Financial**

Risk – Detrimental changes to the full implementation of the funding formula – reduced funding.

- Briefings have been submitted (via OPCC) to two Members of Parliament to raise awareness of the Force position.

Risk – Failure to successfully transfer banking providers by 1st April 2015.

- Banking arrangements have been agreed with Barclays, but not yet finalised. The likelihood of the risk occurring has been reassessed, resulting in a change in the risk status from red to amber.

**Risk Area – People**

Risk – Failure to maintain ongoing recruitment of police officers.

- The officer recruitment process commenced in November 2014. In light of this, the risk was reassessed and the status reduced from amber to green.

**Risk Area – Health and Safety**

Risk – Death in custody/ after police contact.

- The custody health care provision is in progress and will be implemented in April 2015.

**Risk Area – Partnerships**

Risk – Failure to maximise the potential impact of Local Criminal Justice Board (LCJB).

- A new LCJB agenda which ensures meaningful debate and contribution has been agreed by members and is now in place.

**Risk Area – Information Management and Security**

Risk – The loss or inappropriate disclosure of sensitive data.

- A Storage Working Group has been convened to look at a wide range of options to address the management, transfer and disposal of paper based records in light of existing/ future estates moves and building closures.

**Risk Area – Estates**

Risk – Failure to dispose of HQ site in a timely manner.

- Planning permission has been approved subject to Section 106 planning obligations.

**4 Conclusion & Overall Opinion**

- 4.1 Current Force and OPCC risk management processes and procedures are helping to ensure the effective management of those risks which have the potential to adversely affect the delivery of Force and Police and Crime Commissioner (PCC) strategic aims and objectives.

**5 Equal opportunities implications**

5.1 There are no equal opportunities implications arising from the report.

**6 Human rights implications**

6.1 There are no human rights implications arising from the report.

**7 Risk Management implications**

7.1 There are no additional risk management implications arising directly from this report.

**8 Financial implications**

8.1 There are no financial implications directly arising from the report.

**9 Recommendation**

9.1 The Committee is requested to note the contents of this report.

