

POLICE AND CRIME COMMISSIONER FOR NORTHUMBRIA**JOINT INDEPENDENT AUDIT COMMITTEE****23 FEBRUARY 2015**

Corporate Risk Management**Report of Paul Godden, Corporate Development Department**

1. Purpose of the Report

- 1.1 To provide members with an update on the management of strategic risk as contained within the Police and Crime Commissioner and Chief Constable's Joint Strategic Risk Register.

2. Background

- 2.1 The Office of Police and Crime Commissioner (OPCC) and Northumbria Police share a Joint Strategic Risk Register (Appendix A) which has been designed to ensure the effective management of strategic risk, whilst reducing the bureaucracy associated with historic practices.
- 2.2 Each strategic risk is assigned a Chief Officer and OPCC owner, who has responsibility for the management of controls and the implementation of new controls, where necessary.

3. Current Position

- 3.1 Owners have reviewed the strategic risks for which they have ownership, and provided updates. Key changes are outlined as follows:

New Risk(s)

A new strategic risk has been identified under the Public Confidence/Perception.

Risk (31) – Data Integrity (surrounding NCRS compliance).

Existing controls:

- Compliance data presented to Strategic Management Board, Operational Performance Review Meeting and Chief Officer Team.
- Internal Audit programme.
- Internal training programme for all supervisors.

Additional controls:

- External Audit.

No further controls are required at this stage; however, the risk will be regularly assessed to identify any changes in the threat level.

Existing Risk(s)

Additional controls have been identified or implemented for the following risks and will help reduce the likelihood or impact of the risk if it were to occur.

Risk Area – Business Continuity

Risk (10) – Prolonged industrial action by key external organisations (transport, teachers, fire service).

- Ongoing support with Local Resilience Forum (LRF).

Risk Area – Financial

Risk (7) – Failure to successfully transfer banking providers by 1st April 2015.

- Banking arrangements have been agreed with Barclays, and the transfer of funds will occur on 1st April 2015. The likelihood of the risk occurring has been reassessed, resulting in a change in the risk status from amber to green.

Risk Area – People

Risk (16) – Employment of corrupt officers/staff.

- Implementation of the Code of Ethics for all police officers.

Risk Area – Equality

Risk (24) – The Force, OPCC or an associated individual acts in a discriminatory way.

- External organisational staff surveys (Stonewall Workplace Equality Index in which the force ranked 85th out of the 397 organisations who applied)

4. Conclusion and Overall Opinion

- 4.1 Current risk management processes and procedures help to ensure the effective management of those risks which have the potential to adversely affect the delivery of Force and Police and Crime Commissioner (PCC) strategic aims and objectives.

5. Equal Opportunities Implications

- 5.1 There are no equal opportunities implications arising from the report.

6. Human Rights Implications

- 6.1 There are no human rights implications arising from the report.

7. Risk Management Implications

- 7.1 There are no additional risk management implications arising directly from this report.

8. Financial Implications

- 8.1 There are no financial implications directly arising from the report.

9. Recommendation

- 9.1 The Committee is requested to note the contents of this report.