
STRATEGIC RISK MANAGEMENT – ANNUAL REPORT

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1. PURPOSE OF THE REPORT

- 1.1 To provide an overview on the management of strategic risk as contained within the Police and Crime Commissioner (PCC) and Chief Constable's Joint Strategic Risk Register.

2. BACKGROUND

- 2.1 The Office of Police and Crime Commissioner (OPCC) and Northumbria Police share a Joint Strategic Risk Register which has been designed to ensure the effective management of strategic risks.
- 2.2 Each strategic risk has been assigned Chief Officer/Director and OPCC owners, who have responsibility for the management of existing controls and the implementation of new controls, where necessary.
- 2.3 Area Commanders, Department Heads and OPCC are responsible for the identification of emerging risks which cannot be controlled locally, and have the potential to prevent the Force and PCC from achieving objectives. These risks are escalated to the PCC, Chief Officers, and monitored at the Strategic Management Board (SMB).

3. CURRENT POSITION

- 3.1 The Joint Strategic Risk Register is scrutinised at the SMB and the Joint Independent Audit Committee on a quarterly basis. The Joint PCC/Chief Constable Governance Group provides additional scrutiny and governance.
- 3.2 Each risk is aligned against the Proud to Protect mission and values. Risks have been categorised against each of the four 'Proud to...' areas identified under Proud to Protect. Currently there are:
- 11 risks under Proud to Serve
 - 3 risks under Proud of Our People
 - 10 risks under Proud to Improve
 - 10 risks under Proud to Lead
- 3.3 The number of risks has remained fairly constant; however, there have been changes and additions/removals from the register throughout the year in response to the changing risk environment.
- 3.4 The register identifies each risk and the consequences if it were to happen. The register also provides a summary of existing and additional controls. Additional controls are those which are either in progress or require implementation. All risks are regularly reviewed by their respective owners and additional controls identified or changed where necessary.

- 3.5 During 2015/16 four new risks have been added to the register.

Risk area – Proud of our People

Risk – Litigation, legal action and/or prosecution against the Force and/or individuals following assault or injury to Police Officer/staff.

Risk – Negative impact on staff morale from further significant government cuts to funding.

Risk area - Proud to improve

Risk – Short term negative cash flow.

Risk – Collaboration leads to an increase in exposure to associated risks of partners/collaborators.

- 3.6 In addition, a number of controls have been added to the register during the year providing additional assurance and helping to reduce the likelihood and impact of risks.

4. FINANCIAL CONSIDERATIONS

- 4.1 There are no additional financial considerations arising from this report.

5. LEGAL CONSIDERATIONS

- 5.1 There are no legal considerations arising from the content of this report.

6. EQUALITY CONSIDERATIONS

- 6.1 There are no equality implications arising from the content of this report.

7. RISK MANAGEMENT

- 7.1 There are no additional risk management implications directly arising from this report.

8. CONCLUSION

- 8.1 There is appropriate governance in place to ensure strategic risks are scrutinised on a regular basis. New risks are identified and included with the Strategic Risk Register with appropriate oversight of new and existing controls to ensure effective management of risk.

9. RECOMMENDATIONS

- 9.1 To note the content of this report.