
STRATEGIC RISK MANAGEMENT**Paul Godden, Corporate Development Department**

1. PURPOSE OF THE REPORT

- 1.1 To provide an update on the management of strategic risk as contained within the Police and Crime Commissioner (PCC) and Chief Constable's Joint Strategic Risk Register.

2. BACKGROUND

- 2.1 The Office of Police and Crime Commissioner (OPCC) and Northumbria Police share a Joint Strategic Risk Register which has been designed to ensure the effective management of strategic risk. An overview of the risks is provided at Appendix 1.
- 2.2 Each strategic risk is assigned a Chief Officer/ Director and OPCC owner, who has responsibility for the management of controls and the implementation of new controls, where necessary.
- 2.3 Area Commanders, Department Heads and OPCC are responsible for the identification of emerging risks which cannot be controlled locally, and have the potential to prevent the Force and PCC from achieving objectives. These risks are escalated to the PCC and Chief Officers, and monitored at the Strategic Management Board (SMB).

3. GOVERNANCE

- 3.1 The Joint Strategic Risk Register is presented to the SMB for scrutiny. The Joint Independent Audit Committee (JIAC) and the Joint PCC/Chief Constable Governance Group provide additional scrutiny and governance.
- 3.2 A review has recently been undertaken with each risk assessed against the Proud to Protect mission and values. Risks have been categorised against each of the four 'Proud to...' areas identified under Proud to Protect. Currently there are:
- 11 risks under Proud to Serve
 - three risks under Proud of Our People
 - 10 risks under Proud to Improve
 - 10 risks under Proud to Lead

4. CURRENT POSITION

- 4.1 Risk owners and associated heads of departments have reviewed the strategic risks for which they have ownership, and provided updates. Key changes are outlined as follows:

Existing Risks

- 4.2 The following risks have been amended to better reflect the risk posed. Where appropriate, additional controls have been identified or implemented that will help reduce the likelihood or impact of the risk if it were to occur.

Risk area – Proud of Our People

- 4.3 Risk – ‘Assault on or injury to Police Officer/Staff’ changed to ‘Litigation, legal action and/or prosecution against the Force and/ or individuals following assault or injury to Police Officer / Staff’.
- 4.4 Additional controls:
- The Health, Safety and Welfare Group which meets quarterly, and is attended by operational officers, Human Resources, Legal, Service Managers, a Federation Representative and Union Representative.
 - Risk management issues are now considered whenever a civil claim is dealt with, and these issues are raised with the DCC and PCC, who see every report. Any adverse trends are raised by the Legal Department at the Organisational Learning Board.

Risk area – Proud to Improve

- 4.5 Risk – ‘Increase in collaborative working with an increasing range of partners due to budget constraints’ changed to ‘Collaboration leads to an increase in associated risks of partners/collaborators.’

Risk area – Proud to Lead

- 4.6 Risk – ‘Data Integrity’ changed to ‘Data Quality and Integrity’ to widen the scope of the risk and considered in line with wider information management and security risks (risks 30 and 31).

Removal of risk

- 4.7 One risk contained within the register is now considered daily business, and has been removed.
- Severe weather – Severe weather is undoubtedly a known risk but one that the Force is set up well to respond to. The Force maintains strong links with other emergency services and local partners through the Local Resilience Forum (LRF) and has plans and protocols in place to deal with unforeseen events. This is considered core business and does not require escalation to the Joint Strategic Risk Register.

5. CONCLUSION

- 5.1 Current risk management processes and procedures continue to help to ensure the effective management of those risks which have the potential to adversely affect the delivery of Force and PCC strategic aims and objectives.

6. FINANCIAL CONSIDERATIONS

- 6.1 There are no additional financial considerations arising from this report.

7. LEGAL CONSIDERATIONS

- 7.1 There are no legal considerations arising from the content of this report.

8. EQUALITY CONSIDERATIONS

8.1 There are no equality implications arising from the content of this report.

9. RISK MANAGEMENT

9.1 There are no additional risks arising from the content of this report.

10. RECOMMENDATIONS

10.1 To note the content of this report and overview of the joint strategic risk register.