




















Appendix B – Overview of Joint Strategic Risk Register

- Proud to Serve
- Proud of our People
- Proud to Improve
- Proud to Lead

1	Failure to recognise and adapt to the national Transforming Rehabilitation agenda (<i>risk deleted</i>).	
2	Failure to produce an acceptable Police and Crime Plan.	
3	Failure to deliver against targets set out in the Police and Crime Plan.	
4	Prolonged industrial action by key members of staff.	
5	Prolonged industrial action by key external organisations (transport, teachers, fire service).	
6	Prolonged fuel shortages.	
7	Power failure at key sites.	
8	Major disruption to use of key buildings and facilities.	
9	Pandemic outbreak.	
10	Death in custody / within police premises or outside, following police contact.	
11	Failure to maximise the potential impact of LCJB.	
12	Litigation, legal action and/or prosecution against the Force and/or individuals following assault or injury to police officer/staff.	
13	Failure to maintain ongoing recruitment of police officers.	
14	Negative impact on staff morale from further significant government cuts to funding.	
15	Further cuts to Home Office Police Grant Funding arising from the 2015 Spending Review.	
16	A reduction in grant resources arising from a change to the Home Office grant allocation model.	
17	Failure to deliver planned cost reductions.	

Agenda Item 11

18	Short term negative cash flow.	
19	Major ICT/Telecommunications systems failure.	
20	Reduction in partnership services due to financial constraints and a lack of integrated planning.	
21	Collaboration leads to an increase in exposure to associated risks of partners/collaborations.	
22	Significant cyber-attack on Force systems.	
23	Failure to dispose of HQ site and other estate assets as valued within the MTFS.	
24	Missed opportunity to share building/facilities with local authority partners.	
25	The Force/OPCC fails to follow relevant laws, regulations, business rules and ethical codes.	
26	Employment of corrupt police officers/staff.	
27	Ineffective complaints processes.	
28	Serious accident/death/road traffic accident due to a breach of health and safety.	
29	The Force/OPCC or an associated individual acts in a discriminatory way.	
30	The loss or inappropriate disclosure of sensitive data.	
31	Security breach.	
32	Data quality and integrity.	
33	Loss of public confidence and/or reputational damage <i>(risk deleted)</i> .	
34	Significant and sustained breakdown in the working relationship between PCC and Chief Constable <i>(risk deleted)</i> .	
35	NEW - Financial Risks arising as a result of exit from European Union.	
36	NEW - Failure to have sufficient appropriate adults to support those detainees in custody. This is not a statutory function of local authorities.	

Joint Strategic Risk Register – Summary of changes since last quarter (June 2016)

Likelihood	VERY HIGH (5)				15
	HIGH (4)			36 ³	
	MEDIUM (3)	12	14 ¹ 20 35 ³		16 ¹
	LOW (2)	5 6	1 ² 7 8 11 19 24 27 32 ¹	2 3 9 17 ¹ 18 21 22 23 25	10 ¹ 28 ¹ 33 ²
	VERY LOW (1)	4	13 ¹ 29 ¹ 30 34 ²	26 31	
	VERY LOW (1)	LOW (2)	MEDIUM (3)	HIGH (4)	VERY HIGH (5)

Impact

Amended Risk/Controls¹

10 – Death in Custody following police contact amended to Death in Custody/within police premise or outside, following police contact.

13 – Additional controls updated to include the 2016 Police Officer recruitment campaign.

14 – Additional controls included around the staff survey, 'Proud' briefings, leadership expectations and development programmes.

16 – Amended to reflect the risk to a reduction in grant resources arising from a change to the Home Office grant allocation model, rather than a specific reference to the Home Office review of the funding formula; risk and controls updated.

17 – Controls amended to reflect additional financial monitoring at Joint Business Meeting.

28 – Serious accident or death due to a breach of health and safety amended to serious accident, death or road traffic collision due to a breach of health and safety.

29 – 'Serious accident/ death due to a breach of Health and Safety (H&S)' changed to 'serious accident/ death/ road traffic accident due to a breach of Health and Safety (H&S).'

Risks deleted²

1 – Failure to recognise and adapt to the national Transforming Rehabilitation agenda.

33 – Loss of public confidence and/or reputational damage.

34 – Significant and sustained breakdown in the working relationship between Police and Crime Commissioner and Chief Constable.

New Risks³

35 - Financial Risks arising as a result of exit from European Union.

36 - Failure to have sufficient appropriate adults to support those detainees in custody. This is not a statutory function of local authorities.