

Agenda Item 9 Appendix A

Draft Audit Plan 2017/18 - 2019/20					
Risk	Frequency	Audit Area	2017/18	2018/19	2019/20
		Police & Crime Commissioner			
Medium	Bi-annual	Grant Distribution		50	
High	Annual	Treasury Management	80	80	80
		Chief Constable			
		IT & Departmental			
High	Annual	IT Audit	80	80	80
High	Annual	IT Audit	80	80	80
Medium	Bi-annual	Asset Management	120		120
Medium	Bi-annual	Fleet Management		120	
Medium	Bi-annual	Human Resources & Training	80		80
Medium	Bi-annual	Legal Department and Insurance Arrangements	120		120
Medium	Bi-annual	Communications Department		40	
Medium	Bi-annual	Custody		50	
Medium	Bi-annual	Firearms Licensing		40	
High	Bi-annual	Police Operational Support Functions	100		100
High	Annual	Police Charities Funds	40	40	40
High	Annual	Procurement	120	120	120
Medium	Bi-annual	Professional Standards & Counter Fraud Arrangements		100	
		Theme Based Audits			
High	Annual	Property	140	140	140
High	Annual	Cash & Miscellaneous Income	150	150	150
		Combined Areas			
		Financial Systems			
High	Annual	Creditors	120	120	120
High	Annual	Debtors	120	120	120
High	Annual	Payroll & Pensions	120	120	120
High	Annual	Main Accounting System	100	100	100
High	Annual	Budgetary Control	60	60	60
High	Annual	Employee Claims	80	80	80
		Other Combined Areas			
Medium	Bi-annual	Risk Management and Business Continuity Arrangements	100		100
Medium	Bi-annual	Strategic Corporate Communications		50	
Medium	Bi-annual	Equality & Diversity		50	
Medium	Bi-annual	Joint Working Arrangements	80		80
High	Annual	Governance	120	120	120
Medium	Bi-annual	Health & Safety		60	
Medium	Bi-annual	Information Governance & Data Security	80		80
Medium	Bi-annual	Performance Management & Data Quality		60	
Medium	Bi-annual	VAT	60		60
Medium	Bi-annual	Complaints		100	
High	Annual	Annual Governance Statement - Review of Managers' Assurance	100	100	100
		Other			
		Follow Up Contingency	100	100	100
		General Advice, Consultancy and Systems Review	150	150	150
		Joint Independent Audit Committee - Preparation & Support	120	120	120
		Total Hours	2,620	2,600	2,620
High		NERSOU	50	50	50
		Total Hours	2,670	2,650	2,670