





















Appendix B – Overview of Joint Strategic Risk Register

-  Proud to Serve
-  Proud of our People
-  Proud to Improve
-  Proud to Lead

1	Failure to produce an acceptable Police and Crime Plan.	
2	Failure to deliver against targets set out in the Police and Crime Plan.	
3	Prolonged industrial action by key members of staff.	
4	Prolonged industrial action by key external organisations (transport, teachers, fire service).	
5	Prolonged fuel shortages.	
6	Power failure at key sites.	
7	Major disruption to use of key buildings and facilities.	
8	Pandemic outbreak.	
9	Death in custody / within police premises or outside, following police contact.	
10	Failure to maximise the potential impact of LCJB.	
11	Failure to have sufficient appropriate adults to support those detainees in custody. This is not a statutory function of local authorities.	
12	Severe delays in digital forensic examinations	
13	Failure to achieve ISO/IEC 17025 accreditation for digital device examination	
14	Litigation, legal action and/or prosecution against the Force and/or individuals following assault or injury to Police Officer/ Staff	
15	Failure to maintain on-going recruitment of police officers	
16	Negative impact on staff morale from further significant government cuts to funding.	

NOT PROTECTIVELY MARKED

17	Further cuts to Home Office Police Grant Funding.	■
18	A reduction in grant resources arising from a change to the Home Office grant allocation model.	■
19	Failure to deliver planned cost reductions.	■
20	Major ICT or telecommunications systems failure.	■
21	Reduction in partnership services due to financial constraints and a lack of integrated planning.	■
22	Collaboration leads to an increase in exposure to associated risks of partners/ collaborators	■
23	Significant cyber-attack on Force systems.	■
24	Failure to dispose of HQ site and other estate assets as valued within the MTFS.	■
25	Missed opportunity to share building/facilities with local authority partners.	■
26	Financial Risks arising as a result of exit from European Union.	■
27	Failure to deliver the National Emergency Services Network (ESN) to Northumbria Police on time and to budget.	■
28	The Force/ OPCC fails to follow relevant laws, regulations, business rules and ethical codes.	■
29	Employment of corrupt officers/ staff.	■
30	Ineffective complaints processes.	■
31	Serious accident/ death/ road traffic accident due to a breach of Health and Safety (H&S).	■
32	The Force, OPCC or an associated individual acts in a discriminatory way.	■
33	The loss or inappropriate disclosure of sensitive data.	■
34	Security breach.	■
35	Data quality and integrity.	■
36	Significant and sustained breakdown in the working relationship between PCC and Chief Constable.	■

NOT PROTECTIVELY MARKED
 Summary of changes since last report

Likelihood	VERY HIGH (5)	17		12							
	HIGH (4)		13								
	MEDIUM (3)	14	16	26	11	18					
	LOW (2)	27	4	6	20	30	2	19	28	9	31
	5	7	21	35	8	22	23	24			
VERY LOW (1)	3	1	32	29	33	15	36	34			
	VERY LOW (1)										
	LOW (2)										
	MEDIUM (3)										
	HIGH (4)										
	VERY HIGH (5)										

Impact

Updates**Risk 1 - Failure to produce an acceptable Police and Crime Plan**

Revisions to the Police and Crime Plan will be in place for the Police and Crime Panel by 28 February 2017. As a result, it is proposed that the likelihood rating be adjusted to very low (1) and impact to medium (3).

Risk 11 – Failure to have sufficient appropriate adults to support those detainees in custody

A plan is in place to develop a trained volunteer service with Sunderland University; therefore the likelihood has already changed from high (4) to medium (3). Provision has been agreed to provide trained appropriate adults on a 24 hour basis via a call out system.

Risk 12 - Severe delays in digital forensic examinations.

New risk. Likelihood has been assessed as very high (5) and impact as high (4).

Risk 13 - Failure to achieve ISO/IEC 17025 accreditation for digital device examination.

New risk. Likelihood has been assessed as high (4) and impact as medium (3).

Risks 33 – The loss or inappropriate disclosure of sensitive data

The impact of the loss or inappropriate disclosure of sensitive data has been reviewed following the circumstances and events that occurred as part of the migration of data from the old Recording of Digital Interviews System (RODI) server to a new virtualised server. Likelihood of the risk has been assessed as very low (1), whilst impact has been reassessed as high (4).