

Joint Strategic Risk Register**Paul Godden, Corporate Development Department**

1. PURPOSE

- 1.1 To present the current Strategic Risk Register.

2. BACKGROUND

- 2.1 The Office of Police and Crime Commissioner (OPCC) and Northumbria Police share a joint Strategic Risk Register. Each strategic risk is assigned a Chief Officer/ Director and OPCC owner, who has responsibility for the management of controls and the implementation of new controls, where necessary.
- 2.2 Risks are categorised against each of the four 'Proud to...' areas identified under Proud to Protect. Currently there are:
- 13 risks under Proud to Serve;
 - Three risks under Proud of Our People;
 - 11 risks under Proud to Improve; and
 - 11 risks under Proud to Lead.
- 2.3 The register (Appendix A) identifies each risk and the consequences if it were to happen. The register also provides a summary of existing controls. All risks are regularly reviewed by their respective owners and additional controls identified or changed, where necessary. All risks are rated on the likelihood of the risk occurring and the impact it would have.
- 2.4 Area Commanders, Department Heads and OPCC are responsible for the identification of emerging risks which cannot be controlled locally, and have the potential to prevent the Force and PCC from achieving objectives. These risks are escalated to the PCC and Chief Officers, and recorded on the Joint Strategic Risk Register.
- 2.5 The register is presented to the Joint Business Meeting between PCC and Chief Constable on a quarterly basis. The Joint Independent Audit Committee (JIAC) and the Joint PCC/ Chief Constable Governance Group provide additional scrutiny and governance on a quarterly basis.
- 2.6 Current risk management processes and procedures continue to help to ensure the effective management of those risks which have the potential to adversely affect the delivery of Force and PCC strategic aims and objectives.

3. CHANGES TO REGISTER

- 3.1 The key changes to the risk register since the last quarter are outlined below.

Appendix B provides an overview of the RAG status of the risks and summary of changes since May 2017.

Existing risks

Risk 12 – Severe delays in digital forensic examinations.

- 3.2 A new case management system (LIMA) has been installed and the Digital Forensics Unit (DFU) network (storage facility) is scheduled for installation by the end of August 2017. This will improve performance and understanding of device timeframes.
- 3.3 Waiting times and backlog continue to reduce, although there is heavy demand for immediate examination of devices which have been prioritised.
- 3.4 The assessment of risk remains: Likelihood very high (5) and impact high (4).

Risk 13 – Failure to achieve ISO/IEC 17025 accreditation for digital device examination.

- 3.5 It is highly probable that no force will be ready and accredited by October 2017 for all elements of digital device examination. Northumbria timeframes have been dictated by the installation of LIMA and the DFU storage network. However, there are no expected issues in gaining accreditation early 2018.
- 3.6 NPCC and United Kingdom Accreditation System (UKAS) are in dialogue regarding how the police service nationally will declare non-conformity in the interim.
- 3.7 The assessment of risk remains: Likelihood high (4) and impact medium (3).

Risk 18 – A reduction in grant resources arising from a change to the Home Office grant allocation model.

- 3.8 The Home Office has confirmed no change to the funding formula for 2018/19.
- 3.9 The risk has been re-assessed: Likelihood very low (1) from medium (3); impact remains very high (5).

Risk 19 – Failure to deliver planned cost reductions.

- 3.10 Robust financial strategies are in place including the Medium Term Financial Strategy.
- 3.11 The risk has been re-assessed: Likelihood very low (1) from low (2); impact remains high (4).

Risk 29 – Employment of corrupt Officer/ Staff.

- 3.12 Recent incidents have prompted a review of the likelihood of this risk.
- 3.13 The risk has been re-assessed: Likelihood low (2) from very low (1); impact remains high (4).

Risk 30 – Ineffective complaints processes.

- 3.14 A comprehensive review has been carried out on the management of complaints from receipt through to quality assurance. Effective complaints processes are in place.

- 3.15 The risk has been re-assessed: Likelihood very low (1) from low (2); impact high (4) from medium (3).

Risk 33 – The loss or inappropriate disclosure of sensitive data.

- 3.16 Recent incidents have prompted a review of the likelihood of this risk.

- 3.17 The risk has been re-assessed: Likelihood low (2) from very low (1); impact remains high (4).

Risk 34 – Security breach.

- 3.18 Recent incidents have prompted a review of the likelihood of this risk.

- 3.19 The risk has been re-assessed: Likelihood low (2) from very low (1); impact remains high (4).

New risks

Risk 37 – Failure of glazed Units at Middle Engine Lane and Forth Banks.

- 3.20 Recent issues have identified the potential for injury to building occupants; a negative impact on employees; litigation and civil claims; and closure of buildings.

- 3.21 The design of the glazed units ensures that complete collapse is unlikely and glass would crumble not shard. An expert inspection and report have been commissioned.

- 3.22 Likelihood has been assessed as low (2) and impact as high (4).

Risk 38 – Estate risks around electrical and gas safety, water hygiene, asbestos containing materials and fire safety.

- 3.23 Recent national events have highlighted a need to include a specific risk relating to estates.

- 3.24 Existing policies and procedures including risk assessment; regular inspection, testing and maintenance; and regular evacuation tests are in place.

- 3.25 An in-depth independent survey of fire risk issues is being undertaken at the direction of the OPCC.

- 3.25 Likelihood has been assessed as low (2) and impact as high (4).

4. FINANCIAL CONSIDERATIONS

- 4.1 There are no additional financial considerations arising from this report.

5. LEGAL CONSIDERATIONS

- 5.1 There are no legal considerations arising from the content of this report.

6. EQUALITY CONSIDERATIONS

- 6.1 There are no equality implications arising from the content of this report.

7. RISK MANAGEMENT

- 7.1 There are no additional risk management implications directly arising from this report.

8. CONCLUSION

- 8.1 Current risk management processes and procedures continue to help to ensure the effective management of those risks which have the potential to adversely affect the delivery of Force and PCC strategic aims and objectives.

9. RECOMMENDATIONS

- 9.1 Members are asked to note the content of the report.