

## Appendix B – Overview of Joint Strategic Risk Register

- Proud to Serve
- Proud of our People
- Proud to Improve
- Proud to Lead

1	Failure to produce an acceptable Police and Crime Plan.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
2	Failure to deliver against targets set out in the Police and Crime Plan.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
3	Prolonged industrial action by key members of staff.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
4	Prolonged industrial action by key external organisations (transport, teachers, fire service).	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
5	Prolonged fuel shortages.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
6	Power failure at key sites.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
7	Major disruption to use of key buildings and facilities.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
8	Pandemic outbreak.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
9	Death in custody / within police premises or outside, following police contact.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
10	Failure to maximise the potential impact of LCJB.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
11	Failure to have sufficient appropriate adults to support those detainees in custody. This is not a statutory function of local authorities.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
12	Severe delays in digital forensic examinations	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
13	Failure to achieve ISO/IEC 17025 accreditation for digital device examination	<span style="display: inline-block; width: 15px; height: 15px; background-color: #00AEEF;"></span>
14	Litigation, legal action and/or prosecution against the Force and/or individuals following assault or injury to Police Officer/ Staff	<span style="display: inline-block; width: 15px; height: 15px; background-color: #6A329F;"></span>
15	Failure to maintain an effective resourcing and deployment model through recruitment, retention and progression	<span style="display: inline-block; width: 15px; height: 15px; background-color: #6A329F;"></span>
16	Negative impact on staff morale from further significant government cuts to funding.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #6A329F;"></span>
17	Further cuts to Home Office Police Grant Funding.	<span style="display: inline-block; width: 15px; height: 15px; background-color: #FFFF00;"></span>

18	A reduction in grant resources arising from a change to the Home Office grant allocation model.	■
19	Failure to deliver planned cost reductions.	■
20	Major ICT or telecommunications systems failure.	■
21	Reduction in partnership services due to financial constraints and a lack of integrated planning.	■
22	Collaboration leads to an increase in exposure to associated risks of partners/ collaborators	■
23	Significant cyber-attack on Force systems.	■
24	Failure to dispose of HQ site and other estate assets as valued within the MTFS.	■
25	Missed opportunity to share building/facilities with local authority partners.	■
26	Financial Risks arising as a result of exit from European Union.	■
27	Failure to deliver the National Emergency Services Network (ESN) to Northumbria Police on time and to budget.	■
28	The Force/ OPCC fails to follow relevant laws, regulations, business rules and ethical codes.	■
29	Employment of corrupt officers/ staff.	■
30	Ineffective complaints processes.	■
31	Serious accident/ death/ road traffic accident due to a breach of Health and Safety (H&S).	■
32	The Force, OPCC or an associated individual acts in a discriminatory way.	■
33	The loss or inappropriate disclosure of sensitive data.	■
34	Security breach.	■
35	Data quality and integrity.	■
36	Significant and sustained breakdown in the working relationship between PCC and Chief Constable.	■
37	Failure of Glazed Units at Middle Engine Lane and Forth Banks.	■
38	Estate risks around electrical and gas safety, water hygiene, asbestos and fire safety.	■

Summary of changes since last report

<b>Likelihood</b>	<b>VERY HIGH (5)</b>	17		12	
	<b>HIGH (4)</b>		13		
	<b>MEDIUM (3)</b>	14	16 20 26		
	<b>LOW (2)</b>	27 4 5	6 7 10 21 25	35 2 8 22 23 24 28 29 33 34 37 38	9 31
	<b>VERY LOW (1)</b>	3	1 15 32 36	11 19 30	18
	<b>VERY LOW (1)</b>	<b>LOW (2)</b>	<b>MEDIUM (3)</b>	<b>HIGH (4)</b>	<b>VERY HIGH (5)</b>

**Impact**

## Updates

### **Risk 18 – A reduction in grant resources arising from a change to the Home Office grant allocation model.**

The Home Office has confirmed no change to the funding formula for 2018/19.

The risk has been re-assessed: Likelihood very low (1) from medium (3); impact remains very high (5).

### **Risk 19 – Failure to deliver planned cost reductions.**

Robust financial strategies are in place including the Medium Term Financial Strategy.

The risk has been re-assessed: Likelihood very low (1) from low (2); impact remains high (4).

### **Risk 29 – Employment of corrupt Officer/ Staff.**

Recent incidents have prompted a review of the likelihood of this risk.

The risk has been re-assessed: Likelihood low (2) from very low (1); impact remains high (4).

### **Risk 30 – Ineffective complaints processes.**

A comprehensive review has been carried out on the management of complaints from receipt through to quality assurance. Effective complaints processes are in place.

The risk has been re-assessed: Likelihood very low (1) from low (2); impact high (4) from medium (3).

### **Risk 33 – The loss or inappropriate disclosure of sensitive data.**

Recent incidents have prompted a review of the likelihood of this risk.

The risk has been re-assessed: Likelihood low (2) from very low (1); impact remains high (4).

### **Risk 34 – Security breach.**

Recent incidents have prompted a review of the likelihood of this risk.

The risk has been re-assessed: Likelihood low (2) from very low (1); impact remains high (4).

## New risks

### **Risk 37 - Failure of Glazed Units at Middle Engine Lane and Forth Banks**

Likelihood has been assessed as low (2) and impact as high (4)

**Risk 38 – Estate risks around electrical and gas safety, water hygiene, asbestos containing materials and fire safety.**

Likelihood has been assessed as low (2) and impact as high (4).