

JOINT INDEPENDENT AUDIT COMMITTEE	14 May 2018
Strategic Risk Management – Annual Report	
Paul Godden, Corporate Development Department	

1. PURPOSE OF THE REPORT

- 1.1 To provide an overview on the management of strategic risk as contained within the Police and Crime Commissioner (PCC) and Chief Constable's Joint Strategic Risk Register.

2. BACKGROUND

- 2.1 The Office of Police and Crime Commissioner (OPCC) and Northumbria Police share a Joint Strategic Risk Register which has been designed to ensure the effective management of strategic risks.
- 2.2 Each strategic risk has been assigned Chief Officer/Director and OPCC owners, who have responsibility for the management of existing controls and the implementation of new controls, where necessary. Area Commanders, Department Heads and OPCC are responsible for the identification of emerging risks which cannot be controlled locally, and have the potential to prevent the Force and PCC from achieving objectives.
- 2.3 The Joint Strategic Risk Register is monitored at Executive Board and Joint Business Meeting and reported to the Joint Independent Audit Committee on a quarterly basis. The Joint PCC/CC Governance Group provides additional scrutiny and governance.

3. CURRENT POSITION

- 3.1 At the financial year end, there were 39 risks on the Joint Strategic Risk Register.
- 3.2 The register identifies each risk and the consequences if it were to happen. All risks are regularly reviewed by their respective owners throughout the year in response to the changing risk environment to provide additional assurance and help to reduce the likelihood and impact of risks.
- 3.3 Over the last twelve months to March 2018, five new risks have been added to the register:
- Failure to comply with the requirements of the General Data Protection Regulation.
 - Inadequate arrangements to meet all Treasury Management transfer requirements.
 - Reduced Treasury Management performance.
 - Failure of glazed Units at Middle Engine Lane and Forth Banks.
 - Estate risks around electrical and gas safety, water hygiene, asbestos containing materials and fire safety.
- 3.4 Two of these risks have been subsequently removed from the register:
- Inadequate arrangements to meet all Treasury Management transfer requirements - treasury management arrangements were successfully transferred to Northumbria Police Finance Department.

- Failure of Glazed Units at Middle Engine Lane and Forth Banks – a defective unit was replaced. An expert report has stated that if further breakages do occur then the glazing units will remain safe.

4. FINANCIAL CONSIDERATIONS

- 4.1 There are no additional financial considerations arising from this report.

5. LEGAL CONSIDERATIONS

- 5.1 There are no legal considerations arising from the content of this report.

6. EQUALITY CONSIDERATIONS

- 6.1 There are no equality implications arising from the content of this report.

7. RISK MANAGEMENT

- 7.1 There are no additional risk management implications directly arising from this report.

8. CONCLUSION

- 8.1 There is appropriate governance in place to ensure strategic risks are scrutinised on a regular basis. New risks are identified and included with the Strategic Risk Register, with appropriate oversight of new and existing controls to ensure effective management of risk.

9. RECOMMENDATIONS

- 9.1 Members are asked to note the content of the report.