



VERA BAIRD^{QC}
POLICE & CRIME COMMISSIONER

Dear

Supporting Victims Fund 2018-19

I am writing to confirm approval of a grant of up to £ from the Police and Crime Commissioner.

Payment of these monies, in accordance with the agreed schedule in the attached grant agreement, is subject to your acceptance of this offer and the standard terms and conditions of the grant agreement.

The funds are for the purpose set out in the Grant Agreement, namely to support and strengthen the overall offer of victim services in Northumbria.

The documents attached to this letter are of importance to help us administer and monitor your grant during 2018-19:

- Grant Agreement and Acceptance of the Grant – please read carefully and sign and return two copies
- Schedule 1 – purpose of the funding
- Schedule 2 – payment schedule for information
- Schedule 3 – monitoring information requirements and template
- Annex A – payment request form
- Annex B – end of year financial monitoring report

Acceptance of Grant

If you wish to accept this offer of grant funding, please sign and return the agreement to the address below, ensuring that you complete the Acceptance of Grant pages. Please be aware that no payments will be released until receipt of the signed documentation.

Return Acceptance of Grant page to:

Rachel Snaithe
Director of Communities and Commissioning
Office of the Police and Crime Commissioner
Victory House
Balliol Business Park
Benton Lane
Newcastle upon Tyne
NE12 8EW

Yours Sincerely,

A handwritten signature in black ink, appearing to read 'V Baird', written in a cursive style.

Dame Vera Baird QC
Police & Crime Commissioner for Northumbria



VERA BAIRD^{QC}
POLICE & CRIME COMMISSIONER

Grant Agreement

Police and Crime Commissioner for Northumbria

And

???

For the 2018/19 Financial Year

Victory House
Balliol Business Park
Benton Lane
Newcastle upon Tyne
NE12 8EW

Police and Crime Commissioner for Northumbria Grant Terms and Conditions for [redacted] applying with effect 1st April 2018 to 31st March 2019.

1. Introduction and definitions

1.1 In this Grant Agreement:

The “Commissioner” means the Police and Crime Commissioner for Northumbria

The “Funding Period” means the financial year from 1st April 2018 to 31st March 2019

The “Grant” means the grant payable by the Commissioner to the Recipient under the terms of this Grant Agreement, the amount of which (the “Grant Amount”) shall not be more than £ [redacted]

The “Grant Letter” means this letter from the Commissioner to the Recipient which sets out the supplementary information in relation to the Grant.

The “Purpose” means that detailed in Schedule 1

The “Recipient” means [redacted] herewith referred to as the “Recipient”.

The “Agent” means any person or organisation appointed by the Commissioner to support delivery of the project and monitoring of the Grant.

2. Terms and Conditions

2.1 Grant Offer

2.1.1 Subject to the Recipient complying with the terms and conditions set out in this Grant Agreement and the Grant Letter, the Commissioner offers to pay the Grant to the Recipient as a contribution toward eligible expenditure.

2.1.2 The Recipient acknowledges that the Commissioner agrees to fund it only for the amount, the Funding Period and the Purpose specified in this Grant Agreement and Grant Letter.

2.2 Purpose and Extent of Grant

2.2.1 The Recipient may not use the Grant for any activities other than the Purpose as defined in Schedule 1 (the “Project”).

2.3 Amount of the Grant

2.3.1 The Commissioner has agreed funding up to the Grant Amount, subject to compliance by the Recipient with the terms of this Grant Agreement.

2.4 Timing of the Grant

- 2.4.1 Payments will usually be paid in two installments, in accordance with Schedule 2, dependant upon receipt **by the due date** of a payment request in the form of Annex A and the supporting monitoring information set out at Schedule 3. Failure to provide the necessary information by the due date will result in payment being delayed until the next quarter.
- 2.4.2 In order for any payment to be released, the Commissioner will require the Recipient to:
- a. have signed and returned a copy of this Grant Agreement to the Commissioner
 - b. have provided the appropriate bank details
 - c. have submitted a completed and satisfactory schedule 3 setting out the intended outcomes for the year
 - d. be in compliance with the terms and conditions of this Grant Agreement
- 2.4.3 The Commissioner reserves the right to withhold all or any payments of the Grant if the Commissioner has reasonably requested information / documentation from the Recipient and this has not been received by the Commissioner in the timescales reasonably required.

2.5 Eligible Expenditure

- 2.5.1 Eligible expenditure consists of payments by the Recipient for the Purpose. Eligible expenditure is net of VAT recoverable by the Recipient from HM Revenue and Customs and gross of irrecoverable VAT.
- 2.5.2 The Recipient shall account for all expenditure on an accruals basis. This requires the cost of goods or services to be recognised when the goods or services are received, rather than when they are paid for.

2.6 Managing the Grant

- 2.6.1 Each party must notify the other of:
- a. the nominated person who will act as the party's authorised representative; and
 - b. the contact details of the authorised representatives and any deputies.
- 2.6.2 The Commissioner requires the Recipient to submit in-year monitoring information as detailed in Schedule 3.
- 2.6.3 The Commissioner may, in addition ask the Recipient to clarify information provided to her or to any Agent. If so, the Recipient shall comply with any reasonable request.
- 2.6.4 An end of year financial monitoring report (also referred to as the "outturn statement") shall be submitted by the Recipient to the Commissioner before the end of April 2019. This report must:
- a. be in the format set out in Annex B
 - b. be signed by the Recipient's Chief Finance Officer;

- c. have submitted a completed and satisfactory schedule 3 setting out the outcomes achieved with the grant; and
- d. Contain a detailed breakdown of expenditure for the entire Funding Period.

2.6.5 The Commissioner may, in addition, ask the Recipient to provide her with forecast outturn information for the financial year end. If so, the Recipient shall comply with any reasonable request.

2.6.6 The Recipient must notify the Commissioner as soon as reasonably practicable that an underspend is forecast.

2.7 Records to be kept

2.7.1 The Recipient must keep a record of all expenditure funded by the Grant, and retain all accounting records for this for a period of at least 6 years after the end of the Funding Period. Accounting records include: original invoices, receipts, minutes from meetings, accounts and any other relevant documentation.

2.7.2 The Recipients should provide:

- a. an annual, written statement, signed by the Recipient's Chief Finance Officer, of how the money was spent; and
- b. a signed undertaking that that recipient will retain such documents for the period prescribed above.

2.7.3 The funds provided under this Grant Agreement may not be used to purchase capital items.

2.8 Lawful conduct, equal opportunities, use of volunteers and activities funded by the Grant

2.8.1 The Recipient must ensure that all reasonable steps have been taken to ensure that it and anyone acting on its behalf complies with any applicable law for the time being in force (so far as binding on the Recipient).

2.8.2 No aspect of the activity funded by the Commissioner may be party-political in intention, use or presentation.

2.8.3 The following costs are not Eligible Expenditure: Payments that support activity intended to influence or attempt to influence Parliament, government or political parties, or attempting to influence the awarding or renewal of contracts and grants, or attempting to influence legislative or regulatory action.

2.8.4 The Grant may not be used to support or promote religious activity. This will not include inter faith activity.

2.8.5 All services provided to victims of crime with funds from this grant must be free, confidential and non-discriminatory.

2.9 Breach of Grant Conditions

2.9.1 If the Recipient fails to comply with **any** of the conditions set out in this Grant Agreement, or if any of the events mentioned in Clause 2.9.2 occur, then the Commissioner may reduce, suspend, or withhold Grant payments, or require all or any part of the Grant to be repaid. The Recipient must repay any amount required to be repaid under this condition within 30 days of receiving the demand for repayment.

2.9.2 The events referred to in Clause 2.9.1 are as follows:

- a) The Recipient purports to transfer or assign any rights, interests or obligations arising under this Grant Agreement without the agreement in advance of the Commissioner;
- b) Any information provided in the application for the Grant (or in a claim for payment) or in any subsequent supporting correspondence is found to be incorrect or incomplete to an extent which the Commissioner considers to be material;
- c) The Recipient takes inadequate measures to investigate and resolve any reported irregularity;
- d) The Recipient changes the nature of its operations to an extent which the Commissioner considers to be significant or prejudicial.

2.9.3 It is hoped that most difficulties encountered by the Recipient can be overcome with the advice and support of the Commissioner. In the event that it becomes necessary to take steps to enforce the terms and conditions of this Grant Agreement, the Commissioner will write to the Recipient giving particulars of its concern or of any breach of a term or condition of the Grant.

2.9.4 The Recipient must act within 30 days (or earlier, depending on the severity of the problem) to address the Commissioner's concern or rectify the breach, and may consult the Commissioner or agree with it an action plan for resolving the problem. If the Commissioner is not satisfied with steps taken by the Recipient to address its concern or rectify the breach, it may take steps to withhold or suspend the further payment of Grant, or to recover Grant funds already paid.

2.10 Funding Period and Termination

2.10.1 The Commissioner does not commit to renew or continue financial support to the Recipient after the Funding Period.

2.10.2 The Commissioner may terminate this Agreement forthwith by serving a written notice on the Recipient if:

- a) the grant or any part of it is being used for any purpose other than the purpose set out in this Agreement;
- b) The Recipient has made any false, incorrect or misleading statement in order to obtain this grant or has been involved in any illegal activity or improper act in its administration;
- c) The Recipient has failed to remedy any breach of this Agreement within 28 days (or such other period as the Commissioner agrees in writing) of being

served with a notice pointing out the breach requiring its rectification

2.11 Amendments to the Grant Agreement

2.11.1 This Grant Agreement and the Grant Letter set out the entire agreement between the parties. They replace all previous negotiations, agreements, understandings and representations between the parties, whether oral or in writing.

2.11.2 Any amendments to this Grant Agreement and/or the Grant Letter shall only be valid if they are in writing and signed by an authorised representative of both parties.

2.12 Data Protection Legislation

2.12.1 The Recipient must ensure that all personal data, including that of victims, family members and, in the context of restorative justice services, offenders is processed in accordance with Data Protection Legislation.

2.12.2 Where the Recipient is a data controller/processor for the purposes of the Data Protection Legislation, they must comply with all the requirements of that legislation in relation to their functions or obligations under this Grant Agreement.

2.12.3 When commissioning services, the Recipient must take all reasonable steps to ensure that any person from whom services are commissioned complies with the Data Protection Legislation when processing personal data in connection with those services.

2.13 Freedom of Information

2.13.1 Where applicable, the Recipient and the Commissioner are required to comply with the Freedom Of Information Act 2000 (the "FOI Act"), any subordinate legislation made under the FOI Act and any guidance issued by the Information Commissioner.

2.13.2 The Recipient agrees to assist and cooperate with the Commissioner to enable the Commissioner to comply with her obligations under the FOI Act whenever a request is made for information which relates to or arises out of this Grant Agreement.

2.14 Transparency

2.14.1 The Recipient acknowledges that the Commissioner shall disclose payments made against this grant of value £25k and above, in accordance with the Government's transparency agenda.

2.14.2 No information shall be disclosed if such disclosure would be in breach of the Data Protection Act, or is exempted from disclosure under the Freedom of Information Act.

2.14.3 The Commissioner is required by the Elected Local Policing Bodies (Specified Information) Order 2011 to publish information as to each crime and disorder grant made by it, subject to the exemptions set out in the Order.

2.15 Publicity and Promotion

2.15.1 All publicity and promotion surrounding the award of this grant and any subsequent promotions should be arranged and agreed between the Commissioner and the Recipient.

2.15.2 All promotional materials must include the Police and Crime Commissioners logo.

2.16 Supporting victim services in Northumbria

2.16.1 Referrals made by Victims First Northumbria to your service or project should be prioritised to help ensure a co-ordinated service for victims of crime in Northumbria.

SCHEDULE 1 – THE PROJECT

Purpose of the funding

To commission victim services and award grants from the Police and Crime Commissioners funding. Section 9 of the Police Reform and Social Responsibility Act 2011 provides the Commissioner with powers to award crime and disorder reduction grants that contribute to the Commissioner's crime and policing objectives as outlined in the Police and Crime Plan 2017-21.

The aim of this grants programme is to support the crime and policing objective "Putting Victims First".

Key deliverables

By accepting the terms and conditions of this grant you are required to provide the key deliverables and outcomes as set out in your application form. Any variance from this should be discussed and agreed with the Office of the Police and Crime Commissioner prior to any divergence from the original project outline.

External assurance

This grant will be audited as part of the Commissioner's annual audit programme. The scope of the audit will be to ensure that the funds have been consumed as recorded under Annex B, and in accordance with the terms and conditions of the grant agreement.

SCHEDULE 2 – PAYMENT SCHEDULE

Payment Reference	Period: From To		Payment date*
1	April 2018	September 2018	April 2018
2	October 2018	March 2019	October 2019
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			

*Subject to Clause 2.4.

SCHEDULE 3 – MONITORING INFORMATION REQUIREMENTS

The Commissioner requires the following in-year monitoring information to be provided at four intervals – 10th July 2018, 10th October 2018, 10th January 2019 and finally 10th April 2019. Each return should build on the previous quarter to provide a cumulative picture at each stage of the monitoring process. The return due in April 2019 should include an overview of the full year's activity:

Period	Resource (£)

(1) Total Grant Allocation	
(2) Actual expenditure to date (please provide breakdown below)	
(3) Commitments/Forecast Expenditure for the duration of the grant	
(4) Total Forecast Expenditure 18/19 (2+3)	

Breakdown of expenditure to date (items)	£ (0,000s)
Total:	

<p>Please briefly describe how the Grant Funding has contributed to your overall activities during the period.</p>
<p>Please describe the progress you have made towards meeting the performance/outcome measures for the grant funding that you set out in your application form.</p>
<p>Please describe how you have received referrals to your service or project.</p>

Please describe what best reflects the majority of your beneficiaries.
Please describe any assessment you have made of the impact of this work on local communities and victims of crime.
Please highlight any issues, successes or lessons from grant-funded work in this period which you would like to highlight in relation to the Police and Crime Plan.
Any other comments?

Note: The in-year monitoring information requirements are separate to the requirements detailed in Clause 2.6.4. The Commissioner may request the Recipient to clarify any information provided.

QUARTERLY DECLARATION

Check the box to confirm that:

- The information provided in your quarterly return today is accurate
- The money from the PCC has been used exclusively for the project described in your original application, together with any changes you've agreed with us since then
- There hasn't been any significant changes to your project or the governance of your organisation apart from any you've told us about and we've agreed in writing
- You've told us about all sources of funding for your project and you haven't received any duplicate funding for activities, services or facilities the PCC is paying for
- You have sought to achieve value for money for your project by securing goods and services at reasonable prices

- Your organisations keeps full and proper accounts and records, including invoices and receipts, which show how the money from the PCC has been used
- Your organisation has an equal opportunities policy and, if working with children, young people or vulnerable adults, a safeguarding policy; these policies are carried out and regularly reviewed to ensure they adhere to current legislation/regulations
- Your organisation hasn't disposed of any PCC funded assets without getting permission from us in writing
- Your organisation is following all current statutory requirements and other laws and regulations relating to the project and its working including: adherence to employer's liability insurance; the national minimum wage; the working time directive; health and safety; safeguarding of children, young people and vulnerable adults; data protection and intellectual property rights legislation.
- Where you are specifically working with victims of crime your service must be delivered in the interests of the victim and be:
 - free of charge;
 - confidential;
 - non-discriminatory (including being available to all regardless of residence status, nationality or citizenship);
 - available whether or not a crime has been reported to the police; an
 - available before, during and for an appropriate time after any investigation or criminal proceedings.

	I agree
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Organisation _____

Name _____

Date _____

ANNEX A - PAYMENT REQUEST

Funding will be released in two equal parts to your organisation -

Period 1: (April 2018 – Sep 2018) **Annex A return due no later than 10th April 2018**

Period 2: (Oct 2018 – March 2019) **Annex A return due no later than 10th October 2018**

Grant Recipient:	Grant Stream: Police & Crime Commissioner for Northumbria
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Funding period:	Resource (£)
(1) Total funding awarded in 2018-19	
(2) Request for this funding period	

Please only request funding in period 2 for your expected forecast expenditure up until the end of March 2019.

Please note: it is important to ensure your figures in Annex A are consistent with your quarterly grant monitoring returns at schedule 3.

MONITORING INFORMATION REQUIREMENTS

Where monitoring information, as set out in schedule 3, is a requirement for the period the payment is requested for, please confirm what has been attached to this payment request form.

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CONFIRMATION BY GRANT RECIPIENT

I confirm that on the basis of the information provided in this report, progress and costs are accurate and in compliance with the terms and conditions of the Grant Agreement:

Signature:			
Name (printed):		Date:	
Position:			

OPCC Northumbria sign off:

Signature:						
Name		Date:				
Position:						
Agresso codes:		Cost centre	Account code (1)	Account code (2)	Project code	Supplier code

